



ehponline.org

# ENVIRONMENTAL HEALTH PERSPECTIVES

## Excessive Heat and Respiratory Hospitalizations in New York State: Estimating Current and Future Public Health Burden Related to Climate Change

---

Shao Lin, Wan-Hsiang Hsu, Alissa R. Van Zutphen,  
Shubhayu Saha, George Lubber, Syni-An Hwang

<http://dx.doi.org/10.1289/ehp.1104728>

Online 24 August 2012



**NIEHS**

National Institute of  
Environmental Health Sciences

National Institutes of Health  
U.S. Department of Health and Human Services

Excessive Heat and Respiratory Hospitalizations in New York State: Estimating Current and Future Public Health Burden Related to Climate Change

Shao Lin<sup>1,2</sup>, Wan-Hsiang Hsu<sup>1,2</sup>, Alissa R. Van Zutphen<sup>1,2</sup>, Shubhayu Saha<sup>3</sup>, George Lubert<sup>3</sup>, Syni-An Hwang<sup>1,2</sup>

<sup>1</sup>Center for Environmental Health, New York State Department of Health, Albany, NY, USA

<sup>2</sup>Department of Epidemiology and Biostatistics, University at Albany School of Public Health, Rensselaer, NY, USA

<sup>3</sup>National Center for Environmental Health, Center for Disease Control & Prevention, Atlanta, GA, USA

**Corresponding author:**

Shao Lin, Ph.D. MD

Center for Environmental Health

New York State Department of Health

Empire State Plaza

Coring Tower, Room 1203

Albany, NY 12237

(518) 402-7962

sxl05@health.state.ny.us

**Short running title:** Respiratory health burden and climate change

**Key words:** climate change, extreme heat, morbidity, projection, public health burden, respiratory disease

**Acknowledgments/disclaimers:** This study was supported by grants 5U01EH000396-02 and 1U38EH000184-05 from the Centers for Disease Control and Prevention. The authors declare they have no actual or potential competing financial interests.

**Abbreviations:**

AT: Apparent Temperature

CCSM3: Community Climate System Model Version 3

CM3: Climate Model Version 3

DP: Dew point

GAM: Generalized Additive Models

ICD-9 codes: International Classification of Disease, 9th Revision Codes

IPCC: Intergovernmental Panel on Climate Change

JFK: John F. Kennedy

LGA: La Guardia

NCAR: National Center for Atmospheric Research

NYS: New York State

SPARCS: Statewide Planning and Research Cooperative System

SRES: Special Report on Emission Scenarios

UKCIP: UK Climate Impacts Program

## **Abstract**

**Background:** While many climate-sensitive environmental exposures are related to mortality and morbidity, there is a paucity of estimates of the public health burden attributable to climate change.

**Objective:** We estimated the excess current and future public health impacts related to respiratory hospitalizations attributable to extreme heat in summer in New York State (NYS) overall, its geographic regions, and across different demographic strata.

**Methods:** Based on the threshold temperature and percent risk changes identified from our study in NYS, we estimated recent and future attributable risks related to extreme heat due to climate change using the global climate model under various climate scenarios. Effects of extreme high apparent temperature (AT) in summer on respiratory admissions, days hospitalized, direct hospitalization costs, and lost productivity from days hospitalized were estimated after adjusting for inflation.

**Results:** The estimated respiratory disease burden attributable to extreme heat at baseline (1991 – 2004) in NYS was 100 hospital admissions, US\$644,069 in direct hospitalization costs, and 616 days of hospitalization per year. Projections for 2080 – 2099 based on three different climate scenarios ranged from 206-607 excess hospital admissions, US\$26-\$76 million in hospitalization costs, and 1,299-3,744 days of hospitalization per year. Estimated impacts varied by geographic region and population demographics.

**Conclusions:** We estimated that excess respiratory admissions in NYS due to excessive heat would be a 2 to 6-times higher in 2080 – 2099 than in 1991 - 2004. When combined with other heat-associated diseases and mortality, the potential public health burden associated with global warming could be substantial.

## Introduction

The global average surface temperature is likely to rise and heat waves will be more intense and frequent in the future warmer climate (IPCC 2007). Although several studies have projected heat-related mortality (Bambrick et al. 2008; Campbell-Lendrum and Woodruff 2007; Knowlton et al. 2007), few have evaluated public health burden associated with morbidity (Bambrick et al. 2008). Previous studies have suggested substantial increases in mortality due to extreme heat. For example, projected regional increases in heat-related premature mortality by the 2050s ranged from 47% to 95% with a mean 70% increase compared with the 1990s in New York City (Knowlton et al. 2007). Most studies have reported a strong relationship between extreme heat days and respiratory admissions. Michelozzi et al. (2009) estimated a 4.5% average increase in respiratory admissions for each 1°C (1.8°F) increase in maximum apparent temperature (AT) above a threshold in 12 European cities. Lin et al. (2009) reported that each 1°C (1.8°F) increase above the threshold of the temperature-health effect curve in different regions of New York City (29°C -36°C [84.2°F -96.8°F]) was associated with a 2.7%-3.1% increase in same-day respiratory admissions in New York City. However, few of these studies projected the public health burden attributable to extreme heat, including attributable risk, cost, and loss of productivity. Because it is important for public health preparedness/responses, our objective was to assess the excess current and future public health impacts of respiratory disease attributable to extreme heat in summer, including the number of admissions, hospitalization costs, days hospitalized, and lost productivity from days hospitalized across multiple regions of New York State (NYS).

## Materials and Methods

### Data Sources

Respiratory hospitalization data from 1991-2004 among NYS residents were obtained from the NYS Department of Health Statewide Planning and Research Cooperative System (SPARCS), a legislatively mandated database of hospital discharge data for approximately 95% of all NYS acute care admissions, excluding admissions to psychiatric and federal hospitals (NYSDOH 2002). Data included principal diagnosis, admission and discharge dates, sources of payment, total charges, date of birth, sex, race, ethnicity, and residential street address. About 94% of addresses were geocoded to street level and 5% to ZIP code level. Less than 1% of addresses could not be geocoded and were excluded from analysis. Family income information was obtained from 1990 and 2000 US Census data at the Census block level.

Meteorological data for NYS during 1991 – 2004, including hourly observations for temperature, pressure and dew point, were provided by the Data Support Section of the Computational and Information Systems Laboratory at the National Center for Atmospheric Research (NCAR) from airport weather stations. Hourly ambient ozone data were obtained from the NYS Department of Environmental Conservation ambient air monitors. We used 8-hour maximum average ozone concentration limited to the hours of 10:00am-6:00pm, which represents the most likely time period for outdoor exposure. Both meteorological and ambient air monitoring locations were geocoded to street level. Projections of the extent and geographical climate distribution were obtained from the Intergovernmental Panel on Climate Change (IPCC) (NCAR 2007a, 2007b, 2009), including projected temperature, pressure, and specific humidity data for 2046-2065 and 2080-2099, i.e., about 50 and 100 years from the baseline period.

### Study Population and Health Outcomes

The study population included all NYS residents. We assessed respiratory admissions, related hospitalization costs, days hospitalized, and lost productivity from days hospitalized. Specifically, we counted all hospital admissions in the summer (June-August) with a principal diagnosis of respiratory disease among NYS residents during 1991-2004. Based on the International Classification of Disease, 9th Revision codes (ICD-9 codes) (DHHS 1997), respiratory diseases included: chronic bronchitis (491), emphysema (492), asthma (493), and chronic obstructive pulmonary disease (COPD) (496). For 0 to 4 year-old children, we included acute bronchitis and bronchiolitis (466) and bronchitis, not specified as acute or chronic (490) because these respiratory illnesses are difficult to distinguish from asthma among very young children. Because the hospitalization charges listed in SPARCS do not reflect actual inpatient hospitalization costs, we multiplied the hospitalization charges indicated in SPARCS by the average cost-to-charge ratio (0.54) for NYS obtained from the Healthcare Cost and Utilization Project (HCUP 2011). We used the length of stay for each patient to estimate the economic cost of lost productivity from days hospitalized according to market and household productivity estimates for US adults by age and sex (Grosse et al. 2009).

#### Meteorological and Exposure Indicators

Fourteen weather regions with relatively homogeneous weather and ozone exposures were identified by overlaying and merging the National Climate Data Center's 10 NYS climate divisions with the 11 ozone regions developed for NYS by Chinery and Walker (2009). Each hospitalization was assigned to a weather region based on geocoded residential address.

Daily mean apparent temperature (AT), an index of human discomfort due to the combined effects of heat and humidity, was calculated in °C as  $AT = -2.653 + 0.994T +$

$0.0153DP^2$  (Kalkstein and Valimont 1986, Steadman 1979), where DP and T represent dew point and daily mean temperature, respectively. While a U- or V-shaped relationship between temperature or AT and respiratory disease is usually found (Linares and Diaz 2008; McMichael et al. 2008), we used a linear-threshold model to quantify the effect of high temperature. The threshold ( $T_0$ ) was selected for each region after modeling all possible values (70 - 105 °F) and selecting the one with the lowest model deviance for each region (Armstrong 2006; McMichael et al. 2008). We also identified two alternate extreme heat indicators, the 90<sup>th</sup> percentile of AT based on the summer AT distribution from 1991-2004 and daily AT > 90°F.

### Climate Scenarios

The IPCC has defined a range of possible future trends in greenhouse gas emissions (IPCC 2007). The scenarios presented in the IPCC Special Report on Emissions Scenarios (SRES) are plausible indications of what the future could be like over decades or centuries (IPCC-TGICA 2007). To represent a wide range of possible future climates, we selected three of the SRES scenarios: high (A2), mid (A1B) and low (B1) emissions based on alternative assumptions about changes in the economy, technology, demographics, and energy demand (IPCC 2000, 2007). A2 assumes a very heterogeneous world with continuously increasing population growth, slow and regionally oriented economic development, and slow technological change. A1B assumes a world of very rapid economic growth, a global population that peaks in mid-century and then gradually declines, and rapid introduction of new and more efficient technologies with a balance across all energy sources. B1 assumes a convergent world, with the same growth population as scenario A1B, but with more rapid changes toward a service and information economy, and with



a reduction in material intensity and clean and resource-efficient technologies (IPCC 2000, 2007).

### Projection of Future Summer AT Distributions

We estimated future AT by using temperature, pressure, and specific humidity obtained from IPCC (NCAR 2007a, 2007b, 2009), which applied the Community Climate System model Version 3 (CCSM3), based on the three climate scenarios described above and constructed according to longitude, latitude, and time with grid cells of 155km x 155km. We assumed that regional variation in climate across the 14 weather regions at baseline would remain unchanged. We used the change in spatially-averaged mean summer daily AT for each region from baseline to mid-century (2046-2065) and the end of the century (2080-2099) under each climate scenario (Bambrick et al. 2008; McMichael et al. 2004).

### Statistical Analysis

To assess public health impacts, we estimated the relationship between daily temperature variation and respiratory admissions using a two-stage Bayesian model that included a regional analysis and a statewide estimate adjusted for regional confounders. In stage 1, we estimated the association between extreme heat and respiratory disease hospitalization for each of the 14 NYS regions using Generalized Additive Models (GAM) (Hastie and Tibshirani 1990) with Poisson distributional errors and a log link function in SAS software 9.2. We assumed a log-linear increase in health risk above a temperature threshold ( $T_0$ ), which was determined by comparing the maximum likelihood estimates over all possible threshold values in the range of data and

using the value with the lowest deviance. We used a linear association between hospitalization and each 1-°F increase in  $AT > T_0$  to estimate the extreme heat effect for each region:

$$\log(\text{count}) = \alpha_0 + s(AT < T_0, df) + \beta_0(AT > T_0) + s(\text{date}, df) + s(O_3, df) + \beta_1 + \dots + \beta_8 + \varepsilon \quad [1]$$

where  $T_0$  is the threshold value of AT,  $\beta_0$  is the slope parameter for  $AT > T_0$  (representing the risk of hospitalization with each 1-°F increase in  $AT > T_0$ ), and  $\alpha_0$  and  $\varepsilon$  are the intercept and error terms, respectively. Spline curves, indicated by  $s(., df)$ , were used to model the effects of  $AT < T_0$ , ozone ( $O_3$ ), and long-term trends and seasonal variation (date). Degrees of freedom (df) were determined using an automatic procedure based on minimizing the sum of absolute values for the first 30 items of the Partial Auto-Correlation Function (PACF) of the model residuals (Armstrong 2006). We also controlled the effect of day-of-the-week (with Monday – Saturday represented by  $\beta_1$  to  $\beta_6$ ), and the 2003 Northeast blackout events that occurred on 8/14 and 8/15 ( $\beta_7, \beta_8$ ). Model fit was assessed by the Bartlett's Kolmogorov-Smirnov statistic (Bartlett 1978). We also checked the model residuals for autocorrelation and partial autocorrelation functions to rule out seasonality or other patterns (Armstrong 2006; Lin et al. 2009).

In stage 2, we pooled region-specific estimates to generate a statewide estimate using a Bayesian Hierarchical Model (Dominici et al. 2002). We controlled for region-level covariates using yearly data estimated from 1990 and 2000 U.S. Census data, including population density, health care access (minimum distance to clinics), race and ethnicity (% of Black residents and % of Hispanic residents), % of residents with  $\leq$  high school education, mean apparent temperature during June – August, % living below the poverty level, and % of the regional population that were elderly (age 75+) and living alone. Pooling of information across regions can potentially

improve statistical power and the generalizability of the results, and account for geographic heterogeneity of effects (Dominici 2002). All second stage analyses were conducted using the R package ‘tlnise’ (Everson and Peng 2008).

Daily excess admissions attributable to extreme heat were computed at baseline (1991 – 2004) and projected for 2046 – 2065 and 2080 – 2009 as

$$A = R \times \Delta T \times M \quad [2]$$

where A represents the estimated number daily admissions attributable to  $AT > T_0$  for each time period; R is the estimated percentage increase in admissions per 1-°F increase in  $AT > T_0$  at baseline based on [1] [i.e.,  $R = \exp(\beta_0) - 1$ ]; M is mean number of daily respiratory admissions during June – August at baseline; and  $\Delta T$  is the difference between the mean daily AT for each time period and the baseline  $T_0$  on days when  $AT > T_0$ . We used the thresholds identified from the baseline data (1991 – 2004) for each region to project future impacts.

Daily excess hospitalization costs and days of hospitalization attributable to extreme heat were computed as:

$$C = A \times D \quad [3]$$

where C represents either daily temperature-attributable hospitalization costs or days hospitalized; A is from equation [2]; and D is the average number of days hospitalized per hospitalization at baseline (Campbell-Lendrum and Woodruff 2006, 2007; McMichael et al. 2004) or the average cost per day of hospitalization was adjusted for inflation (by month and year) for each time period and standardized to 2004 dollars to ensure that costs were comparable across the different years in our study. Since a dollar in the future is perceived to be of less value than a dollar today, it is common practice in health cost estimation to discount for future costs incurred across different time periods to derive the current worth of all future amounts. We used

an annual discount rate of 3% as recommended by the US Panel on Cost-Effectiveness in Health and Medicine to estimate the 2004 dollar value of the future stream of costs.(Goodman 2004; Phillips and Chen 2002). Excess lost productivity from days hospitalized was computed by multiplying estimated excess days of hospitalization by age-specific daily production values presented in Grosse et al. (2009).

Stratified analyses were conducted based on individual level data such as gender, age, specific disease group, insurance type, and census-block group level family income. We also conducted a sensitivity analysis by using another global climate model, the Centre National de Recherches Meteorologiques Coupled Global Climate Model Version 3 (CM3) (Salas 2005a, 2005b, 2005c), to compare and validate our results. Moreover, we performed sensitivity analyses to examine if the estimate of excess heat-related health burden was due to population composition changes in age distribution or race/ethnicity. We used population growth percentages on these specific subgroups to roughly estimate excess admissions from the ones without population growth to those with population growth according to U.S. population projections from 2000 to 2100 (U.S. Census Bureau 2000) and the U.S. Hispanic population from 2000 to 2050 (U.S. Census Bureau 2011).

## **Results**

### **Regional Analysis**

During the baseline period, the LGA (LaGuardia Airport) region had the largest estimated increases in excess admissions (32 per year) followed by the Great Lakes-Rochester (10 cases), White Plains (8 cases), and JFK (John F. Kennedy Airport) (8 cases) regions (Table 1). The LGA regions also had the highest estimated excess hospitalization costs (US\$226,228),

and days spent in the hospital (179). Estimated health impacts were also highest for the LGA region when projected for 2046-2065 and 2080-2099 under the mid emissions scenario (A1B) (65 and 92 hospital admissions, respectively). However, the Binghamton (35 and 49 admissions), Long Island (26 and 36 admissions), and JFK (25 and 35 admissions) regions rank 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> for both time periods.

### Statewide Analysis

There was a statistically significant percent increase in risk per °F above threshold for 1991 – 2004 for NYS overall, with 99 hospital admissions, US\$0.64 million dollars, and 616 days of hospitalization per year attributable to extreme heat (Table 2). There also was a significant increase in hospital admissions attributable to heat among females that was greater than the estimated increase among males, and a significant increase among neighborhoods with a high % of people with low income. The lower income group had a greater estimated increase in admissions than the higher income group, but a smaller increase in hospitalization costs and days spent in the hospital. Within disease categories, the largest excess was in bronchitis admissions.

Although 16-64 year-olds had the largest estimated admissions attributable to extreme heat and 75+ year-olds had the largest excess days hospitalized, excess hospitalization costs were similar between the two age groups at baseline (Table 2). For days hospitalized, 75+, 65-74, and 55-64 year-olds ranked 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> (Table 3). However, excess lost productivity from days hospitalized in summer was the largest within 55-64 year-olds (US\$12,830), followed by 65-74 and 75+ year-olds.

The mean summer AT during the baseline period in NYS was 72.13°F (Table 4). The high emissions scenario (A2) has the highest projected AT, followed by the mid (A1B) and low

(B1) emissions levels. For the low emissions scenario (B1), the mean summer AT projected for 2080 – 2099 is just slightly higher than the mean AT projected for 2046 – 2065 (75.59°F vs. 75.12°F). Relative to baseline, the projected summer mean AT for 2080 – 2099 increases 4.8% under the low emissions scenario (B1), 8.2% under the mid emissions scenario (A1B), and 14.8% under the high emissions scenario (A2), and ranges from 3.46°F to 10.68°F. In 50 years, the estimated number of respiratory disease hospital admissions in NYS attributable to extreme heat ranges from 190-260 cases (1.9 to 2.6 times greater than baseline), resulting in US\$5.5-\$7.5 million dollars in related hospitalization costs, 1,202-1,630 days of hospitalization, and US\$0.47-\$0.64 million dollars in lost productivity from days hospitalized per year, compared with US\$55,361 in lost productivity per year in 1991-2004. In 100 years, the estimated number of respiratory disease hospital admissions in NYS attributable to extreme heat ranges from 206-607 (2.1 to 6.1 times greater than baseline), resulting in US\$26-\$76 million dollars in related hospitalization costs, 1,299-3,744 days hospitalized, and US\$2.2-\$6.5 million dollars in lost productivity from days hospitalized per year. For the low emissions scenario, the estimated health impacts in 100 years are just slightly higher than in 50 years.

We also examined respiratory admissions per year under the three climate scenarios and two alternate heat indicators (Figure 1). For each heat indicator, the high emissions scenario (A2) has the highest annual increase in admissions followed by the mid (A1B) and low emissions (B1) scenarios. For each climate scenario, the estimated increase in hospital admissions was greatest for the default AT threshold followed by the 90<sup>th</sup> percentile AT, and the heat indicator (>90°F AT).

To address uncertainty, we conducted sensitivity analyses to estimate potential excess heat-related health risks after accounting for projected increases in proportions of elderly and

Hispanic people in the NYS population. After accounting for an aging population, the heat-attributable risks under the A1B scenario would be 2.3 and 3.7 times greater than our original estimates for 2046 – 2065 and 2080 – 2099, respectively (data not shown). Similarly, the excess heat-related risk under the A1B scenario after incorporating the projected increase in Hispanics is 3.8 times the original estimate for 2046 – 2065 (data not shown). Estimates based on an alternate global climate model (CM3) in 2046 – 2065 and 2080 – 2099 were 1.5 – 2.0 times higher than our original estimates, depending on the scenario (data not shown).

## Discussion

According to our estimates, the proportional increase in respiratory admissions per year due to extreme heat in the summer would be 90% - 160% and 110% - 510% higher than baseline in 2046 – 2065 and 2080 – 2099, respectively. In terms of economic impact, we estimated that baseline hospitalization costs related to increased respiratory diseases due to high temperature in summer were US\$0.64 million per year, and that projected would be US\$5.5-\$7.5 and US\$26-\$76 million in 2046 – 2065 and 2080 – 2099, respectively. Differences between our study and previous studies are difficult to interpret because of differences in the outcomes assessed. Bambrick et al. (2008) predicted that, relative to 1990, the total annual number of temperature-related hospital admissions in Australia would increase 185%-186% by 2050, and 217%-223% by 2100, which is lower than the 510% increase that we projected for respiratory disease admissions in NYS under the high emissions scenario in 2080 – 2099. Peng et al. (2011) projected an excess of 166-2,217 deaths per year in Chicago in 2081-2100 attributable to heat waves using the A2, A1B, and B1 climate change scenarios. A study conducted in the United Kingdom based on climate scenarios provided by the UK Climate Impacts Program (UKCIP)

estimated a 253% increase in annual heat-related mortality for the 2050s under the Medium-High UKCIP scenario for temperature increase (Donaldson et al. 2001). Using a range of SRES scenarios (A1, A2, B1, B2), Dessai (2003) projected that annual heat-related death rates in Lisbon will increase from 5.4-6 per 100,000 for 1980-1998 to 7.3-35.6 per 100,000 by the 2050s.

The projected future public health burden of respiratory admissions due to extreme heat varied greatly across the 14 NYS weather regions. We found that multiple regions such as Binghamton and Hudson Valley South would have larger proportional increases in respiratory admissions due to extreme heat than other NYS regions. This finding is consistent with the study by Knowlton et al. (2007) which projected that these counties including Dutchess (which overlaps with our Hudson Valley South region), Orange, Ulster (including parts of the Hudson Valley South and Binghamton regions), and Sullivan (in the Binghamton region), will experience larger proportional increases in heat-related mortality due to larger increases in mean daily temperatures by the 2050s. However, extreme heat will have larger absolute impacts in New York City and other urban areas that have larger populations and higher proportions of vulnerable populations. Knowlton et al. (2007) estimated a mean percentage increase in heat-related premature mortality of 38%-72% in metropolitan New York City by 2050, which is consistent with our projection for respiratory admissions in the LGA region, which includes most of New York City and had the highest estimated number of admissions and related burdens in all three time periods.

We found significant health risks and higher projected public health burdens in female and low income groups compared to male and higher incomes groups, which are consistent with a previous study by Lin et al. (2009) in New York City. People with low income are more likely to



live in urban areas with heat-island effects and less likely to be able to afford air-conditioning systems or health care.

This study has a number of strengths. It is one of the first studies to specifically examine respiratory morbidity and its related economic outcomes: hospitalization costs and lost productivity from days hospitalized. These outcomes may be useful metrics for public health policy makers involved in planning for potentially increased public health and economic burdens due to global warming in the future. Assessment of the current and future public health burden due to respiratory diseases is important because asthma (representing more than 50% of the total respiratory admissions in our study population) continues to increase in the United States (Kay 2001; Redd 2002), and New York City has the highest asthma rate in the nation (Garg et al. 2003). To our knowledge, ours is one of the first studies to adjust projected costs for inflation and one of the first to estimate the cost of lost productivity due to hospitalization. In addition, we adjusted hospitalization costs using a cost-to-charge ratio to estimate total inpatient costs, rather than using total charges recorded at discharge, which reflect only part of the overall costs of hospitalization. Finally, we considered different climate change scenarios and used various extreme heat indicators to project effects for a range of possible future conditions.

However, our findings need to be interpreted cautiously due to uncertainties inherent in our methods. First, our projections assume that associations between extreme heat and respiratory hospital admissions would remain constant over time, which does not account for possible physiological and behavioral adaptation to extreme heat. There is currently no standard approach to model the acclimatization effect (Knowlton et al. 2007). Knowlton et al. (2007) compared estimated heat-related mortality impacts with and without acclimatization by the 2050s and concluded that the estimated increases in heat-related premature mortality would be

reduced if future acclimatization were considered. In addition, our definition of lost productivity based on the length of hospital stay is an underestimate of total productivity losses, which are likely to continue after hospital discharge.

One important uncertainty is that we assumed that the size and demographic characteristics of each regional population remained constant at baseline levels in our projections of future health impacts. According to the 2010 Census, NYS had a 2.1% increase in the size of its population and a notable 19.2% increase in the size of the Hispanic population since 2000. Increases in the size of the NYS population and the proportions of vulnerable subgroups would increase the absolute number of future respiratory admissions and related economic burdens, as suggested by sensitivity analyses that indicate greater estimated impacts when projected increases in the proportions of elderly and Hispanic NYS residents are accounted for.

Our projections of AT apply estimated increases in mean summer AT to the baseline, assume that the variation of the extreme heat events observed in the baseline period would remain constant in the future, and assume that the region-specific temperature thresholds estimated for the baseline period would apply in the future. Since the frequency and intensity of extreme weather could become more frequent and intense (Clark et al. 2010, Dessai 2003), our projection of the future burden due to extreme heat events may be an underestimate. In addition, our assumption of fixed temperature thresholds does not account for potential increases in thresholds due to acclimatization. Given that it is difficult to predict the net effect of potential biases, it is hard to judge whether our projections are likely to be underestimates or overestimates.

Another uncertainty is the accuracy of our estimates of the risks of respiratory hospital admissions and the excess lengths of stay and costs related to extreme heat. To address this

concern, our risk estimates were region-specific, i.e., temperature-health thresholds and risks were estimated based on each NYS weather region and regional demographic/air pollutants and individual socio-demographics were controlled. We also used two other extreme heat indicators to validate our findings. Our prediction also accounted for inflation and used actual cost rather than total hospitalization charge which overestimates cost.

A regional climate model for NYS was not available for this study, and meteorology data were projected using a global climate model that has a relatively coarse spatial resolution compared to global-to-regional climate models. However, the global climate model has been commonly used for climate projection because of the uncertainty in regional climate prediction (Dessai 2003). Another uncertainty is the selection of the CCSM3 model from the IPPC. The CCSM3 model has been used to predict future temperature and weather factors by many prior studies (Collins et al. 2006). We selected this model because it provides small grid coverage and information needed to project AT. This model has been shown to reliably predict observed features of current and past climates so that it is believable for projected AT in 2046 – 2065 and 2080 – 2099 (Randall et al. 2007). In addition, a sensitivity analysis suggested that estimates based on the CCSM3 global climate model are conservative compared with estimates of future climate in NYS based on the CM3 global climate model.

Uncontrolled confounding also could introduce bias. We used a two-stage Bayesian model to estimate weather-health associations first for each of the 14 NYS regions that were adjusted for regional ozone levels, long-term trends, seasonal variation, weekday/weekend effects, and the 2003 Northeast blackout events. In the second stage, we pooled region-specific estimates to generate a statewide estimate adjusted for region-level characteristics including the

minimum distance to clinics for access to care, race/ethnicity, education level, poverty, and age distributions of the populations in each region.

## **Conclusions**

Our estimates suggest that hospital admissions for heat-related respiratory diseases in NYS in 2080 – 2099 will be 2-6 times higher than in 1991 – 2004. If other respiratory health endpoints (e.g., clinic visits, emergency department visits, mortality) and other heat-associated diseases were also considered, the public health and associated economic burden would be even greater. As climate change is anticipated to increase the frequency and intensity of extreme heat events, understanding the range and scale of the current and future public health burden attributable to heat-related health effects will help policy makers develop more targeted climate impact adaptation and mitigation strategies.

## References

- Armstrong B. 2006. Models for the Relationship between Ambient Temperature and Daily Mortality. *Epidemiology* 17:624-631.
- Bambrick H, Dear K, Woodruff R, Hanigan I, McMichael A. 2008. The Impacts of Climate Change on Three Health Outcomes: Temperature-Related Mortality and Hospitalizations, Salmonellosis and Other Bacterial Gastroenteritis, and Population at Risk from Dengue. Garnaut Climate Change Review. Available:  
[http://garnautreview.org.au/CA25734E0016A131/WebObj/03-AThreehealthoutcomes/\\$File/03-A%20Three%20health%20outcomes.pdf](http://garnautreview.org.au/CA25734E0016A131/WebObj/03-AThreehealthoutcomes/$File/03-A%20Three%20health%20outcomes.pdf)
- Bartlett MS. 1978. *An Introduction to Stochastic Processes, With Special Reference to Methods and Applications*. Cambridge Univ Pr.
- Campbell-Lendrum D, Woodruff R. 2006. Comparative Risk Assessment of the Burden of Disease From Climate Change. *Environ Health Perspect* 114:1935-1941.
- Campbell-Lendrum D, Woodruff R. 2007. Climate change: quantifying the health impact at national and local levels. Editors, Prüss-Üstün A, Corvalán C. World Health Organization, Geneva, (WHO Environmental Burden of Disease Series No. 14) Capital Professional Services, LLC. Inflation Calculator. [InflationData.com](http://inflationdata.com). Available:  
[http://inflationdata.com/inflation/Inflation\\_Calculators/Inflation\\_Calculator.asp](http://inflationdata.com/inflation/Inflation_Calculators/Inflation_Calculator.asp) (Accessed 13 May, 2011)
- Chinery R, Walker R. 2009. Development of exposure characterization regions for priority ambient air pollutants. *Human and Ecological Risk Assessment* 15:876-889
- Clark, R.T., Murphy, J.M., Brown, S.J., 2010. Do Global Warming Targets Limit Heatwave Risk? *Geophys. Res. Lett.* 37: L17703. doi:10.1029/2010GL043898.
- Collins WD, Bitz CM, Blackmon ML, Bonan GB, Bretherton CS, Carton JA et al. 2006. The Community Climate System Model Version 3 (CCSM3). *J Clim* 19:2122-2143.
- Dessai S. 2003. Heat Stress and Mortality in Lisbon Part II. An Assessment of the Potential Impacts of Climate Change. *Int J Biometeorol* 48:37-44.
- DHHS. 1997. *International Classification of Disease, 9th Revision, Clinical Modification*. Washington DC: US Department of Health and Human Services.
- Dominici, F. 2002. Invited commentary: Air pollution and health - What can we learn from a hierarchical approach? *Am J Epidemiol* 155:11-15.

- Dominici, F, Daniels M, Zeger SL, and Samet JM. 2002. Air pollution and mortality: Estimating regional and national dose-response relationships. *J Am Stat Assoc* 97:100-111.
- Donaldson GC, Kovats RS, Keatinge WR, McMichael AJ. 2001. Heat-and Cold-Related Mortality and Morbidity and Climate Change. *Health Effects of Climate Change in the UK* 70-80.
- Everson PJ and Peng RD. 2008. *tlmise: Two-Level Normal Independent Sampling Estimation*. R package version 0.2-7. URL <http://CRAN.R-project.org/package=tlmise>.
- Garg R, Karpati A, Leighton J, Perrin M, and Shah M. 2003. *Asthma Facts*, Second Edition. New York City Department of Health and Mental Hygiene. Available: <http://www.nyc.gov/html/doh/downloads/pdf/asthma/facts.pdf>
- Goodman CS. 2004. *Introduction to Health Care Technology Assessment*. Nat Library of Medicine/NICHSR. Available: <http://www.nlm.nih.gov/nichsr/hta101/hta101.pdf>
- Grosse SD, Krueger KV, Mvundura M. 2009. Economic Productivity by Age and Sex: 2007 Estimates for the United States. *Med Care* 47:S94-103.
- HCUP (Health Cost and Utilization Project). 2011. Cost-to-Charge Ratio Files. Agency for Healthcare Research and Quality, Rockville, MD. Available: [www.hcup-us.ahrq.gov/db/state/costtocharge.jsp](http://www.hcup-us.ahrq.gov/db/state/costtocharge.jsp). (Accessed 20 June, 2010)
- IPCC (Intergovernmental Panel on Climate Change). 2000. *IPCC Special Report on Emissions Scenarios (SRES)*. Cambridge: Cambridge University Press.
- IPCC (Intergovernmental Panel on Climate Change). 2007. *Climate Change 2007: Synthesis Report. Contribution of Working Groups I, II and III to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change* [Core Writing Team, Pachauri, R.K and Reisinger, A. (eds.)]. IPCC, Geneva, Switzerland, 104 pp. Available: [http://www.ipcc.ch/publications\\_and\\_data/publications\\_ipcc\\_fourth\\_assessment\\_report\\_synthesis\\_report.htm](http://www.ipcc.ch/publications_and_data/publications_ipcc_fourth_assessment_report_synthesis_report.htm)
- IPCC-TGICA (Intergovernmental Panel on Climate Change-Task Group on Data and Scenario Support for Impacts and Climate Analysis). 2007. *General Guidelines on the Use of Scenario Data for Climate Impact and Adaptation Assessment. Version 2*. Prepared by T.R. Carter on behalf of the Intergovernmental Panel on Climate Change, Task Group on Data and Scenario Support for Impact and Climate Assessment, 66pp. Available: [http://www.ipcc-data.org/guidelines/TGICA\\_guidance\\_sdciaa\\_v2\\_final.pdf](http://www.ipcc-data.org/guidelines/TGICA_guidance_sdciaa_v2_final.pdf)

- Kalkstein LS, Valimont KM. 1986 An evaluation of summer discomfort in the United States using a relative climatological index. *B Am Meteorol Soc* 7:842-848.
- Kay AB. 2001. Allergy and Allergic Diseases. Second of Two Parts. *N Engl J Med* 344:109-113.
- Knowlton K, Lynn B, Goldberg RA, Rosenzweig C, Hogrefe C, Rosenthal JK, et al. 2007. Projecting Heat-Related Mortality Impacts Under a Changing Climate in the New York City Region. *Amer. J. Public Health* 97:2028-2034.
- Lin S, Luo M, Walker RJ, Liu X, Hwang SA, Chinery R. 2009. Extreme High Temperatures and Hospital Admissions for Respiratory and Cardiovascular Diseases. *Epidemiology* 20:738-746.
- Linares C, Diaz J. 2008. Impact of High Temperatures on Hospital Admissions: Comparative Analysis With Previous Studies About Mortality (Madrid). *Eur J Public Health* 18:317-322.
- McMichael AJ, Campbell-Lendrum D, Kovats S, Edwards S, Wilkinson P, Wilson T, et al. 2004. Global Climate Change. Geneva, World Health Organization 1543–1650.
- McMichael AJ, Wilkinson P, Kovats RS, Pattenden S, Hajat S, Armstrong B et al. 2008. International Study of Temperature, Heat and Urban Mortality: the *ÆISOTHURMÆ*project. *Int J Epidemiol* 37:1121-1131.
- NCAR. 2007a. IPCC DDC AR4 NCAR-CCSM3 SRESA1B Run3. World Data Center for Climate. CERA-DB "NCAR\_CCSM3\_SRESA1B\_run3". Available: [http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=NCAR\\_CCSM3\\_SRESA1B\\_3](http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=NCAR_CCSM3_SRESA1B_3) (Accessed 13 May, 2011)
- NCAR. 2007b. IPCC DDC AR4 NCAR-CCSM3 SRESA2 Run2. World Data Center for Climate. CERA-DB "NCAR\_CCSM3\_SRESA2\_2". Available: [http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=NCAR\\_CCSM3\\_SRESA2\\_2](http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=NCAR_CCSM3_SRESA2_2) (Accessed 13 May, 2011)
- NCAR. 2009. IPCC DDC AR4 NCAR-CCSM3 SRESB1 Run3. World Data Center for Climate. CERA-DB "NCAR\_CCSM3\_SRESB1\_3". Available: [http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=NCAR\\_CCSM3\\_SRESB1\\_3](http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=NCAR_CCSM3_SRESB1_3) (Accessed 13 May, 2011)
- NYSDOH (New York State Department of Health). 2002. Annual Report: The SPARCS Data System. Albany, NY: Bureau of Biometrics and Health Statistics, New York State

- Department of Health; 2002. Phillips KA, Chen JL. 2002. Impact of the US Panel on Cost-Effectiveness in Health and Medicine. *Am J Prev Med* 22:98-105.
- Randall DA, Wood RA, Bony S, Colman R, Fichet T, Fyfe J et al. 2007. Climate Models and Their Evaluation. In: *Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change* (Solomon S, Qin D, Manning M, Chen Z, Marquis M et al. eds). Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA.
- Redd SC. 2002. Asthma in the United States: Burden and Current Theories. *Environ Health Perspect* 110:557.
- Salas. 2005a. IPCC DDC AR4 CNRM-CM3 SRESB1 Run1. World Data Center for Climate. CERA-DB "CNRM\_CM3\_SRESB1\_1". Available: [http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=CNRM\\_CM3\\_SRESB1\\_1](http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=CNRM_CM3_SRESB1_1) (Accessed 18 January, 2012)
- Salas. 2005b. IPCC DDC AR4 CNRM-CM3 SRESA1B Run1. World Data Center for Climate. CERA-DB "CNRM\_CM3\_SRESA1B\_1". Available: [http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=CNRM\\_CM3\\_SRESA1B\\_1](http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=CNRM_CM3_SRESA1B_1) (Accessed 18 January, 2012)
- Salas. 2005c. IPCC DDC AR4 CNRM-CM3 SRESA2 Run1. World Data Center for Climate. CERA-DB "CNRM\_CM3\_SRESA2\_1". Available: [http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=CNRM\\_CM3\\_SRESA2\\_1](http://cera-www.dkrz.de/WDCC/ui/Compact.jsp?acronym=CNRM_CM3_SRESA2_1) (Accessed 18 January, 2012)
- Steadman RG. 1979. The assessment of sultriness. Part II: effects of wind, extra radiation and barometric pressure on apparent temperature. *J Climate Appl Meteor* 18:874–85.
- U.S. Census Bureau. 2000. Projections of the Total Resident Population by 5-Year Age Groups, and Sex with Special Age Categories: Middle series, 1998-2000, 2050-2070 and 2075-2100. Available: <http://www.census.gov/population/www/projections/natsum-T3.html> (Accessed 18 January, 2012)
- U.S. Census Bureau. 2011. Profile America Facts for Features: Hispanic Heritage Month 2011: Sept. 15- Oct. 15. Available: [http://www.census.gov/newsroom/releases/archives/facts\\_for\\_features\\_special\\_editions/cb11-ff18.html](http://www.census.gov/newsroom/releases/archives/facts_for_features_special_editions/cb11-ff18.html) (Accessed 18 January, 2012)



**TABLE 1—Baseline and projected changes in respiratory admissions, hospitalization costs, and days hospitalized associated with apparent temperatures (AT) > threshold temperatures (T<sub>0</sub>) estimated for 14 NYS weather regions**

Time (Scenario)		Baseline (1991-2004)							2046-2065 <sup>a</sup>			2080-2099 <sup>a</sup>		
Region	T <sub>0</sub>	% Change in Risk / °F >		Average Days Hospitalized per Admission	Excess Admissions per Year <sup>b</sup>	Excess Cost Per Year <sup>c</sup>	Excess Days Hospitalized per Year <sup>d</sup>	Excess Admissions per Year <sup>b</sup>	Excess Cost per Year <sup>e</sup>	Excess Days Hospitalized per Year <sup>d</sup>	Excess Admissions per Year <sup>b</sup>	Excess Cost per Year <sup>f</sup>	Excess Days Hospitalized per Year <sup>d</sup>	
		T <sub>0</sub>	Average Cost per Admission											
LGA	86	0.81*	\$7,013	5.54	32	\$226,228	179	65	\$2,006,135	362	92	\$12,377,786	509	
JFK	85	1.11	\$7,364	6.04	8	\$56,767	47	25	\$811,150	152	35	\$5,021,408	214	
Staten Island	87	1.06	\$6,554	5.83	2	\$13,062	12	4	\$121,283	25	6	\$769,009	36	
Long Island	85	0.93	\$8,003	6.88	6	\$46,459	40	26	\$899,311	176	36	\$5,465,560	244	
White Plains	74	0.36	\$7,543	7.59	8	\$59,111	59	20	\$645,145	148	23	\$3,343,564	175	
Hudson Valley South	81	2.30*	\$7,390	6.59	6	\$47,955	43	14	\$455,001	93	20	\$2,809,536	130	
Hudson Valley North	86	-1.96	\$4,574	6.23	-1	-\$2,308	-3	-2	-\$48,914	-15	-4	-\$370,931	-26	
Adirondack & North Mohawk Valley	78	-2.46	\$3,792	5.84	-4	-\$13,709	-21	-5	-\$90,110	-32	-8	-\$565,960	-45	
Binghamton	77	0.80	\$4,561	6.21	2	\$9,991	14	4	\$75,957	24	5	\$459,694	33	
Great Lakes- Rochester	79	3.67*	\$4,552	5.94	4	\$18,016	24	35	\$705,515	210	49	\$4,292,689	291	
Central Lakes	74	1.35	\$4,177	5.83	10	\$43,139	60	18	\$326,864	104	24	\$1,911,475	139	
Western Plateau	77	0.75	\$4,245	6.03	4	\$17,554	25	5	\$91,129	30	7	\$565,360	42	
Great Lakes - Buffalo	71	0.62	\$3,922	5.85	4	\$14,832	22	10	\$173,355	59	12	\$940,607	73	
	82	0.89	\$4,640	6.19	2	\$10,107	13	4	\$86,286	26	7	\$627,997	44	

a. Projections based on the CCSM3 climate model assuming SRES emissions scenario A1B.

b. Excess admissions from equation [2].

c. At baseline, Excess Cost = Average Cost per Admission x Excess Admissions.

- d. Excess Days hospitalized = Average Days Hospitalized x Excess Admissions.
  - e. Excess Cost = Average Cost per Admission x Excess Admissions x  $(1 + \text{discount rate } (0.03))^50$ .
  - f. Excess Cost = Average Cost per Admission x Excess Admissions x  $(1 + \text{discount rate } (0.03))^{100}$ .
- \* % change in risk / °F above Threshold is significant at  $p < 0.05$ .

**TABLE 2—Estimated respiratory hospital admissions, hospitalization costs, and days hospitalized associated with AT > T<sub>0</sub> at baseline by demographic and disease subgroups in NYS**

Outcome	Baseline (1991-2004)				
		% Change in Risk / °F > T <sub>0</sub>	Excess Admissions per Year	Excess Cost per Year	Excess Days Hospitalized per Year
Gender					
	Female	1.35*	82	\$555,717	533
	Male	0.38	17	\$106,743	102
Age					
	0-15	-0.33	-6	-\$20,088	-18
	16-64	0.93	40	\$239,494	219
	65-74	1.16	24	\$199,609	196
	75 +	1.17	27	\$236,496	238
Disease <sup>a</sup>					
	Asthma	0.47	26	\$129,927	117
	Bronchitis	1.14	41	\$300,782	288
	Other	1.49	23	\$227,016	242
Income <sup>b</sup>					
	Low	1.26*	68	\$412,910	398
	High	1.16	61	\$423,279	404
Insurance <sup>c</sup>					
	No Insurance	0.93	4	\$14,697	13
	Medicare	0.05	2	\$18,048	18
	Medicaid	-0.01	0	-\$1,446	-1
	Private Insurance	0.64	19	\$101,981	89
All		0.93*	99	\$644,069	616

\* % change in risk / °F > T<sub>0</sub> is significant at p < 0.05.

a. Disease groups are defined by ICD-9 codes in SPARCS. Asthma: 493. Bronchitis: 491, 466 (for age < 5 years) and 490 (for age < 5 years). Other: 492 and 496.

b. Low income group ≤ median. High income group > median.

c. Insurance groups are defined by sources of payment in SPARCS.

**TABLE 3—Estimated days hospitalized and lost productivity for respiratory admissions associated with AT > T<sub>0</sub> by age group in NYS**

Outcome	Baseline (1991-2004)		
	Excess Days Hospitalized per Year	Person Daily Production Value <sup>a</sup>	Excess Lost Productivity from Days Hospitalized per Year <sup>b</sup>
Age			
16-24	10	\$55.64	\$556
25-34	20	\$149.13	\$2,982
35-44	36	\$176.47	\$6,353
45-54	57	\$172.29	\$9,821
55-64	96	\$133.65	\$12,830
65-74	196	\$64.73	\$12,687
75+	238	\$42.57	\$10,132

- a. Age-specific personal daily production value from Grosse et al. (2009).
- b. Excess Lost Productivity from Days Hospitalized = Excess Days Hospitalized x Personal Daily Production Value.

**TABLE 4—Comparison of estimated statewide respiratory admissions, hospitalization costs, days hospitalized, and lost productivity at baseline to projections under three emissions scenarios<sup>a</sup> in NYS**

<b>Time, Scenario</b>	<b>Mean Summer Daily AT(°F)</b>	<b>Admissions (Ratio)<sup>b</sup></b>		<b>Cost of Hospitalization<sup>c</sup></b>	<b>Days Hospitalized</b>	<b>Lost Productivity from Days Hospitalized<sup>d</sup></b>
Baseline (1991-2004)	72.13	99		\$644,069	616	\$55,361
50 years (2046-2065) Low	75.12	190	(1.9)	\$5,497,603	1,202	\$471,482
50 years (2046-2065) Mid	76.19	236	(2.4)	\$6,852,002	1,484	\$582,746
50 years (2046-2065) High	76.97	260	(2.6)	\$7,490,615	1,630	\$639,865
100 years (2080-2099) Low	75.59	206	(2.1)	\$26,045,504	1,299	\$2,234,027
100 years (2080-2099) Mid	78.01	318	(3.2)	\$40,429,610	1,988	\$3,423,747
100 years (2080-2099) High	82.81	607	(6.1)	\$76,334,071	3,744	\$6,450,926

a. Low: B1, Mid: A1B, High: A2.

b. Ratio = projected # admissions / baseline # admissions (1991-2004).

c. Standardized to August 2004. Baseline cost was adjusted for inflation rates, and current cost was adjusted to future values by an annual discount rate of 3%.

d. Future cost estimates were adjusted for inflation and a discount rate of 3%.

**Figure Legend**

Figure 1. Projected statewide increased summer respiratory admissions per year under various times, scenarios and threshold indices for NYS.

